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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/717,883	11/20/2003	Robert J. Tramontano	11451.00	9370
26889	7590	01/14/2008		
MICHAEL CHAN NCR CORPORATION 1700 SOUTH PATTERSON BLVD DAYTON, OH 45479-0001			EXAMINER BASIT, ABDUL	
			ART UNIT 3694	PAPER NUMBER
			MAIL DATE 01/14/2008	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/717,883	<b>Applicant(s)</b> TRAMONTANO ET AL.	
	<b>Examiner</b> Abdul Basit	<b>Art Unit</b> 3694	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 20 November 2003.
- 2a) ☐ This action is **FINAL**.      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-15 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-15 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>5/9/2005</u> | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 11, 12, and 15 are rejected under 35 U.S.C. 102(e) as being anticipated by Shannon (US Pub. No. 2004/0064373)

#### ***Regarding claim 11:***

Shannon teaches an automated teller machine (ATM) comprising:

- An ATM receipt image template; *(see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon)*
- Means for providing ATM transaction information when an ATM customer carries out an ATM transaction; *(see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon)* and
- Means for sending ATM transaction information to a remote terminal together with or in association with the ATM receipt image template. *(see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon)*

#### ***Regarding claim 12:***

Shannon teaches a self-service or point of sales terminal comprising:

- A receipt image template; *(see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon)*
- Means for receiving transaction information; *(see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon)* and
- Means for sending the transaction information to a remote terminal together with or in association with the receipt image template. *(see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon)*

**Regarding claim 15:**

Shannon teaches an automated teller machine (ATM) comprising:

- Means for generating receipt information associated with an ATM transaction carried out by an ATM customer; *(see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon)*
- Means for creating an image of an ATM transactional receipt based upon the receipt information associated with the ATM transaction; *(see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon)* and
- Selecting means for enabling the ATM customer to select a location other than at the ATM at which the image of the ATM transactional receipt is to be provided. *(see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon)*

**Claim Rejections - 35 USC § 103**

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and

the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-10, 13 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shannon in view of Spero (US Pat. No. 7,069,240).

**Regarding claim 1:**

Shannon teaches a method for providing a receipt to a user comprising:

- Receiving at a host terminal receipt information from a point of sale or self service terminal; (*see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon*)
- Creating an image of a receipt using the receipt information; (*see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon*) and

Spero, not Shannon, teaches including the receipt image in a customer bank or account statement. (*see figure 6 – account statement including receipt image*)

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Spero with Shannon. Motivation to modify exists because providing a receipt image in an account statement provides a convenient method of viewing and maintaining receipt records.

**Regarding claim 2:**

Shannon further teaches a method as claimed in claim 1, further comprising defining a format or layout for the receipt image using a template or form. (*see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon*)

**Regarding claim 3:**

Shannon further teaches a method as claimed in claim 2, further comprising storing the template or form at the point of sale or self-service terminal, and sending the receipt information in or with the template to the host terminal. (*see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon*)

***Regarding claim 4:***

Shannon further teaches a method as claimed in claim 2, further comprising storing the template or form at the host terminal, and using the receipt information and the template or form to create the receipt image. (*see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon*)

***Regarding claim 5:***

Shannon further teaches a method as claimed in claim 4, wherein a plurality of templates are stored at the host terminal. (*see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon*)

***Regarding claim 6:***

Shannon further teaches a method as claimed in claim 5, further comprising identifying the receipt issuing party; searching for the template associated with that party, and using the template found to create the receipt image. (*see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon*)

***Regarding claim 7: See claim 1***

***Regarding claim 8: See claim 2***

***Regarding claim 9: See claim 3***

***Regarding claim 10: See claim 4***

***Regarding claim 13:***

Shannon teaches a method of operating an automated teller machine (ATM) to provide an ATM customer carrying out an ATM transaction at the ATM with an ATM transactional receipt, the method comprising:

- Generating receipt information associated with the ATM transaction; (*see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon*)
- Creating an image of the ATM transactional receipt based upon the receipt information associated with the ATM transaction; (*see paragraphs 6 and 7, and claims 1, 3-4 and 20-21 of Shannon*) and

Spero, not Shannon, teaches delivering the image of the ATM transactional receipt to the ATM customer at a location other than at the ATM. (*see figure 6*)

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Spero with Shannon. Motivation to modify exists because providing a receipt image in an account statement provides a convenient method of viewing and maintaining receipt records.

***Regarding claim 14:***

Spero, not Shannon, teaches delivering the image includes delivering the image of the ATM receipt to the ATM customer in a customer bank or account statement (*see figure 6*)

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Spero with Shannon. Motivation to modify exists because providing a receipt

image in an account statement provides a convenient method of viewing and maintaining receipt records.

### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. This includes Cahill (US Pat. No. 6,574,377), Ching (US Pat. No. 7,299,970), Adelman (US Pub. No. 2004/0098664)

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Abdul Basit whose telephone number is 571 272-7246. The examiner can normally be reached on Monday - Friday, 8:30am to 5:00pm.

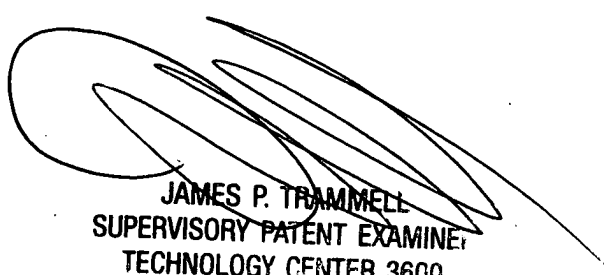
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Trammell can be reached on 571 272 6712. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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